Australian Government
Australian Taxation Office

Application by an Australian resident investor for refund of tax file number amounts deducted

Use this application if you want to claim a refund of amounts your investment body (for example, your bank, building society, credit union, company in which you own shares or unit trust) withheld because you either didn't:

■ quote your tax file number (TFN)
■ quote your Australian business number (ABN)
■ advise of your exemption from quoting either of these.

These amounts may have been withheld from interest, dividends or unit trust distributions your investment body paid you.

⚠ If your reason for claiming a refund is not listed in Question 7, you can't use this form.

If you're not an Australian resident for tax purposes and TFN withholding tax has been deducted from your investment income, phone us on **13 28 61**.

When completing this application

■ Type in ALL CAPS before printing the form OR print clearly in BLOCK LETTERS using a black pen.
■ Don't use a highlighter pen.
■ Place **X** in ALL applicable boxes.
■ Complete all relevant sections, attach documentary evidence to support your claim and place form on top.

Section A: **Applicant details**

1 What is your tax file number (TFN) or Australian business number (ABN)?

TFN (if known)　　　　　　　OR　　ABN (if known)

⚠ We are authorised by law to ask for a TFN. You are not required by law to provide us with a TFN, however, quoting TFNs reduces the risk of administrative error that could delay the processing of this form.

2 What is the full name (or names) as shown on the account?

3 For an individual applicant, what is your date of birth?

Day / Month / Year

4 What is your postal address?

Street address

Suburb/town/locality　　　　　　　State/territory　　Postcode

5 The refund may be issued electronically to your active Australian financial institution account. Provide details below.

BSB　　　　Account number

⚠ If you don't have an active Australian financial institution account, your refund cheque will be mailed to the postal address above.

6   What are the name and contact details of the authorised contact person?

Name

Phone

Email

☐ In providing your email for communication please confirm you acknowledge and accept the potential risks associated with the use of email as it is not secure.

7   Why are you claiming a refund?

You can only claim a refund directly from us if you can claim an exemption from quoting a TFN or ABN from your investment body, but have not done so. **If you can't select one of the boxes below, you can't receive a direct refund and you must lodge a tax return to claim a credit for the TFN amounts deducted.**

Individuals

I am claiming a refund because I receive the following pension or benefit (or both)

☐ Age pension

☐ Disability support pension

☐ Wife pension

☐ Parenting payment (single)

☐ Widow B pension

☐ Special needs pension

☐ Carer payment

☐ Special benefit

☐ Veterans' affairs pension with a pensioner concession card

Non-Individuals

The entity is claiming a refund because

☐ The account is in the name of a society, club or other organisation whose income is exempt under Division 50 of the *Income Tax Assessment Act 1997*

☐ The account is the name of a non-profit company whose taxable income is not more than $416 per annum

> For more information phone us on **13 28 66**.

8   Provide details of your refund claim and attach evidence

Bank or Investment body name	Account number	Date deducted	Amount $
		Total of refund	

⚠ Documentary evidence

You must provide evidence to support your claim. Examples of acceptable documents include photocopies of passbooks, account statements, written advices on the investment body's letterhead, term deposit advice, dividend statements and unit trust distribution advices. The photocopies must clearly show the name of the account, the account number, the name of the investment body, the amount deducted and the date the amount was deducted. **Do not send original documents**.

Section B: **Declaration**

⚠️ Must be completed by the account holder (or holders) or an authorised person if you are a non-individual or entity

Before you sign this form
Check that the information you have provided is true and correct.

Penalties
Be aware that penalties may be imposed for giving false or misleading information.

Privacy
Taxation law authorises the ATO to collect information including personal information about the person authorised to sign the declaration. For information about your privacy, go to ato.gov.au/privacy

I/We have notified the investment body of the account holder (or holders) TFN or ABN, or exemption status.

I/We declare that this is the first and final claim for a refund of this amount. It will not be claimed in a tax return or from the investment body.

If a joint account, all account holders applying for a refund must sign. If there are more than two account holders, attach additional details.

Name	Name

Position or title (non-individual)	Position or title (non-individual)

Signature	Signature

Date

Day / Month / Year

Date

Day / Month / Year

Lodging your application

Keep a copy of this completed application for your own records and send the original with supporting documentation to:

**Australian Taxation Office
PO Box 1032
ALBURY NSW 2640**