

Application by an Australian resident investor for refund of tax file number amounts deducted

Use this application if you want to claim a refund of amounts your investment body (for example, your bank, building society, credit union, company in which you own shares or unit trust) withheld because you either didn't:

- quote your tax file number (TFN)
- quote your Australian business number (ABN)
- advise of your exemption from quoting either of these.

These amounts may have been withheld from interest, dividends or unit trust distributions your investment body paid you.

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If your reason for claiming a refund is not listed in Question 5, you cannot use this form.

If you are not an Australian resident for tax purposes and TFN withholding tax has been deducted from your investment income, phone us on **13 28 61**.

When completing this application

- Print clearly in BLOCK LETTERS using a black pen.
- Do not use a highlighter pen.
- Place **X** in ALL applicable boxes.
- Complete all relevant sections, attach documentary evidence to support your claim and place form on top.

Section A: Applicant details			
1 What is your tax file number (TFN) or Australian business number (ABN)?			
	Tax file number (TFN) (if known) OR Australian business number (ABN) (if known)		
	We are authorised by law to ask for tax file numbers (TFN). You are not required by law to provide us with a TFN, however, quoting TFNs reduces the risk of administrative error that could delay the processing of this form.		
2	What is the full name (or names) as shown on the account?		
3	For an individual applicant, what is your date of birth?		
	Day Month Year Year		
4	What is your pastal address?		
4	What is your postal address?		
	Suburb/town State/territory Postcode		
5	5 The refund may be issued electronically to your active Australian financial institution account. Provide details below.		
	BSB:		
	Account number:		
	If you do not have an active Australian financial institution account, your refund cheque will be mailed to the above postal address.		
6	What are the name and contact details of the authorised contact person?		
	Name		
	Phone Compared to the compa		
	Email		
In providing your email for communication please confirm you acknowledge and accept the potential risks associated with the use of email as it is not secure.			
	Yes Yes		
NAT	Sensitive (when completed)		

Why are you claiming a refund? You can only claim a refund directly from us if you can claim an exemption from quoting a TFN or ABN from your investment body, but have not done so. If you cannot select one of the boxes below, you cannot receive a direct refund and you must lodge a tax return to claim a credit for the TFN amounts deducted. Individuals Non-individuals I am claiming a refund because I receive the following The entity is claiming a refund because: pension or benefit (or both): the account is in the name of a society, club or other organisation whose income is exempt under Division 50 special needs pension age pension of the Income Tax Assessment Act 1997, or the account is the name of a non-profit company whose taxable income is not more than \$416 per annum. disability support pension carer payment wife pension special benefit, or For more information phone us on 13 28 66. parenting payment veterans' affairs pension (single) with a pensioner concession card. widow B pension For more information phone us on 13 28 61. Provide details of your refund claim and attach evidence Bank or Investment body name Account number Date deducted Amount \$ Total of refund Documentary evidence You must provide evidence to support your claim. Examples of acceptable documents include photocopies of passbooks, account statements, written advices on the investment body's letterhead, term deposit advice, dividend statements and unit trust distribution advices. The photocopies must clearly show the name of the account, the account number, the name of the investment body, the amount deducted and the date the amount was deducted. Do not send original documents.

Section B: **Declaration** – must be completed by the account holder (or holders) or an authorised person if you are a non-individual or entity

Before you sign this form

Check that the information you have provided is true and correct.

Penalties

Be aware that penalties may be imposed for giving false or misleading information.

Privacy

Taxation law authorises the ATO to collect information including personal information about the person authorised to sign the declaration. For information about your privacy, go to **ato.gov.au/privacy**

I/We have notified the investment body of the account holder (or holders) TFN or ABN, or exemption status.

I/We declare that this is the first and final claim for a refund of this amount. It will not be claimed in a tax return or from the investment body.

If a joint account, all account holders applying for a refund must sign. If there are more than two account holders, attach additional details.

additional details.	
Print name	Print name
Position or title (non-individual)	Position or title (non-individual)
Signature	Signature
Date Day / Month / Year	Date Day / Month / Year

Lodging your application

Keep a copy of this completed application for your own records and send the original with supporting documentation to:

Australian Taxation Office PO Box 1032 ALBURY NSW 2640