

Rollovers version 3 onboarding weekly update

The following update is designed to assist industry in their rollovers version 3 onboarding. We expect to deliver this update on a weekly basis while it continues to be useful. We will include:

- 1) EVTE status for SBR services and Rollover message
- 2) Onboarding status updates of entities participating in rollovers version 3
- 3) Guidance to provide further assistance during onboarding

EVTE status for testing Rollovers V3

Active Issues

#	Issue	Resolution	Resolved on	Status	Rv3 Issues Register #
13	Fund initiated (Fund to ATO) USM testing	In progress		<p>15/07 – Issue has no impact on progressing G2B testing. EVTE update progressing, anticipated deployment date 23/07.</p> <p>08/07 – Issue has no impact on progressing G2B testing. EVTE update progressing to plan.</p> <p>30/06 - Issue has no impact on progressing G2B testing. EVTE update expected mid to late July.</p> <p>23/6 – ATO updating EVTE based on the revised set of USI and Gateway ESAs that have been provided by the various Gateways to date. Interim workaroud in place for USM6.8-6.14, no impacts to progressing G2B testing.</p> <p>16/6 – Still awaiting fund data from some Gateways.</p> <p>09/06 Currently sourcing information from Gateways.</p> <p>02/06 Fund initiated USMR testing is currently limited to the USI and Gateway ESAs known within the EVTE environment.</p> <p>If an unknown USI is used, EVTE cannot automatically generate a USMOR.</p> <p>To ensure EVTE has the correct details to support USMR testing ATO will share the USI and Gateway ESAs currently available with Gateways and seek any additional fund details that Gateways have approved for Funds conducting RV3 testing and update our EVTE environment accordingly.</p>	19

Closed Issues

#	Issue	Resolution	Resolved on	Rv3 Issues Register #
14	03.06.2021 Schematron incorrectly applying USM validation on an RTR message.	<ul style="list-style-type: none"> 11/06 Updated schematron and published on the software developers website. 09/06 Discrepancy identified between the taxonomy in MIG V3 and the latest version of the schematron where validation was incorrectly conducted on the UnclaimedSuperannuationMoney.Date field, as part of an RTR message. The schematron has been updated to align with MIG V3 and address USM validation issues. The updated version has been scheduled for external publication (estimated availability from 11/06). 	11 June 2021	20
12	12.05.2021 G2B test scenario USM 6.6 currently unavailable to test.	<ul style="list-style-type: none"> 18/05 System fix deployed and confirmed successful. USM6.6 available for testing. 12/05 USM6.6 – currently under investigation. 	18 May 2021	18
11	04.05.2021 G2B test scenario USM 6.7 currently unavailable to test.	<ul style="list-style-type: none"> 18/05 System fix deployed and confirmed successful. USM6.7 available for testing. 11/05 USM6.7 – investigation complete, fix identified. Anticipate test will be available from 18/05. 04/05 Issues identified with test scenario USM6.7. 	18 May 2021	17
10	25.03.2021 Provision of updated sample payload data	<ul style="list-style-type: none"> 29/04 –Rollover Sample Message Instances (payload samples) published and available at: https://softwaredevelopers.ato.gov.au/rolloverV3 	29 April 2021	16
9	26.04.2021 RASOR incorrectly setting identifier scheme values for TFN and ID.	<ul style="list-style-type: none"> 29/04 – Fix confirmed successful. 28/04 – Fix identified and deployed on 28 April. Reissued test on 28 April. Resolution pending confirmation of successful fix. 26/04 – EVTE issue identified for investigation. RASOR being rejected at Gateway due to invalid event item scheme parameter value. 	29 April 2021	15
8	01.04.2021 Internal ATO factors affecting the information in our test data for P2P (both B2B and G2B including in EVTE) are currently being considered and are likely to require updates to our test data.	<ul style="list-style-type: none"> 23/04 EVTE refresh completed and testing recommenced. 14/04 Updated test data published. 	23 April 2021	13

7	<p>12.02.2021 Recent whitelist configuration and certificate changes introduced connection errors impacting one gateway.</p>	<ul style="list-style-type: none"> 25/2: Data fix implemented on 23 February. Fix verified with impacted gateway. 	23 February 2021	7
6	<p>10.02.2021 EPF test scenario for SMSF missing the SMSF Alias in the ebMS header properties</p> <ul style="list-style-type: none"> Identified through interoperability testing Root cause: EPF code regressed since the original EVTE deployment. Issue does not impact EPF test scenarios for APRA funds. <p>Code remediation in progress.</p>	<ul style="list-style-type: none"> Remediation to restore correct code for EPF test scenarios with SMSF test data 25/2: Deployed to EVTE on 20 February, fix being verified with impacted Gateway 2/3: Fix verified. 	20 February 2021	6
5	<p>4.02.2021 RA with SMSF Test scenario was not previously enabled.</p> <ul style="list-style-type: none"> Test data was enabled 4 February – scenario has been tested successfully. 	<ul style="list-style-type: none"> RA Test scenarios with SMSF data enabled on 4 February. 	4 February 2021	5
4	<p>EPF Test Scenarios in EVTE updated with SMSF data</p> <ul style="list-style-type: none"> Additional SMSF data for EPF test scenarios circulated to industry representatives (GOM, ASP and gateways currently testing) for review, with no feedback received by 4 February 2021. EVTE updated to align with EPF SMSF data shared for consultation on 4 February. 		4 February 2021 (hotfix)	4
3	<p>29.01.2021 [Defect] EVTE Test Harness unavailable on 20 Jan 2021 preventing testing of RA</p> <ul style="list-style-type: none"> Caused by internal environment issue impacting outbound messages. Resolved by the end of the day on 29 Jan 2021. 	<ul style="list-style-type: none"> Resolved by the end of the day. 	29 January 2021	3
2	<p>15.01.2021 [Defect] EPF ContextID greater than 40 characters issue</p> <ul style="list-style-type: none"> Identified through interoperability testing. 	<ul style="list-style-type: none"> EPF ContextID reduced to a value less than 40 characters. 	2 February 2021 (hotfix)	2

	<ul style="list-style-type: none"> Scenario has been tested successfully with an EPF for an APRA fund. 			
1	15.12.2021 [Defect] RA and RAS sample payloads contained 8 digit TFNs instead of 9 digit TFNs <ul style="list-style-type: none"> Identified through early review of RA test files provided. Shared with ATO on 15 Dec 2021 RA and RAS data change release on 4th Jan to EVTE.	<ul style="list-style-type: none"> RA and RAS test scenarios updated with 9- digit TFNs. 	4 January 2021 (hotfix)	1

EVTE status by test scenario grouping (no change from last update – will be removed from next report due to content updates and no longer required)

	Test scenario group	Status
1	ATO initiated USM Rollover Request	Available to test
2	Gateway respond to Test 1 with USMOR	Available to test
3	Gateway initiate USM Rollover Request	Available to test
4	ATO respond to Test 3 with USMOR (automatic)	Available to test
5	ATO initiate EPF for APRA to APRA (no gateway response)	Available to test
6	ATO initiate EPF for SMSF to SMSF (no gateway response)	Available to test
7	ATO initiate EPF for APRA to SMSF (no gateway response)	Available to test
8	ATO initiate EPF for SMSF to APRA (no gateway response)	Available to test
9	ATO initiate S20c Request	Available to test
10	Gateway respond to Test 9 with S20c Error Response	Available to test
11	ATO initiate RA Request	Available to test
12	Gateway respond to Test 11 with RAER	Available to test
13	Gateway initiate RAS Request	Available to test
14	ATO respond to Test 13 with RASOR (automatic)	Available to test
15	ATO initiate RA Request for SMSF	Available to test
16	Gateway respond to Test 15 with RAER for SMSF	Available to test
17	Gateway initiate RAS Request for SMSF	Available to test
18	ATO respond to Test 17 with RASOR (automatic) for SMSF	Available to test

EVTE testing progress (no change from last update – will be removed from next report due to content updates and all testing completed)

(Gateway EVTE testing progress reflects completion of the 18 testing groups above)

- All gateways have now completed testing.

Gateway to gateway interoperability testing (update provided by GNGB) (no change from last update – will be removed from next report due to content updates and all testing completed)

- All gateways have completed gateway to gateway interoperability testing for Rollovers v3.

EVTE status for SVS and SMSFmemberTICK Service (no change from last update)

- May 2020 - full suite of SVS and SMSF verification services available in EVTE
- Seven DSPs have been whitelisted for SMSFVRFY.
- Two DSPs have been whitelisted for SMSFMBRVERFY.
- Eight other DSPs are currently testing
- No issues have been identified requiring changes.

B2B Testing

- Cohort 1 commenced Tuesday 15 June and completed on 2 July.
 - One fund administrator and four APRA funds have completed their B2B testing as part of Cohort 1
 - One APRA fund is still to finalise their testing with SMSF provider
- Cohort 2 commenced on Monday 5 July.
 - Three APRA funds, two SMSF providers and one life insurance company are currently undertaking testing as part of Cohort 2.
 - The two SMSF providers have completed testing with each other.
 - Cohort 2 is scheduled to end on 19 July.
- Cohort 3 no longer has any funds ready within that window due to shifts in readiness dates and has been disbanded.
- Cohort 4 commences on Monday 19 July.
 - The five APRA funds involved in Cohort 4 have confirmed their readiness.
 - A meeting to discuss the testing process was held on 13 July.
- Cohort 5 commences on Monday 26 July.
 - There are three APRA funds and one SMSF provider participating in this cohort.
 - Emails to welcome the participants to this cohort were issued early this week.

G2B Testing

G2B testing progress with funds, administrators, SMSF providers, and digital service providers (DSPs) as at 14 July:

- Nine fully completed their G2B testing
- Eleven are currently undertaking G2B testing, of which three are currently on hold pending issue resolution.
- Twenty six tentatively scheduled to commence over the coming weeks (between 19/07-02/08).

Testing support documentation (no change from last update)

- Rollover v3 progressively released into EVTE through July to October 2020
- Conformance suite scenarios and test data for RA, RAS and EPF (initial version) was released on 12 December 2020 (standard monthly cycle)
- USM Reason Code taxonomy change was released on 12 December 2020 as planned (standard monthly cycle)
- RA & RAS ProductType Code taxonomy change was released in EVTE 16 January 2021 as planned (standard monthly cycle)
- [Rollover v3 Conformance testing guide](#) was updated to include Rv2 scenarios and published on 1 April 2021
- [SuperStream Rollovers V3 Test Data Scenario](#) spreadsheet was updated to include new test data (see active issue 8) and released on 12 April 2021
- [Rollover v3 Conformance testing guide](#) was updated to align testing scenarios, latest version (v0.8) published on 29 April 2021
- [Rollover Sample Message Instances](#) (payload samples) published 29 April 2021
- [MAAS Conformance Suites and payloads](#) published in SBR Sharefile 06 May 2021
- MAAS EVTE code deployed and DSPs re-whitelisted 10 May 2021

- [SMSF Alias Lookup Table](#) published 10 May 2021
- [MATS Conformance Suites and payloads](#) published in SBR Sharefile 18 May 2021.
- [SuperStream Rollovers V3 Test Data Scenario V1.1](#) spreadsheet was updated to remove invalid scenarios and provide clarity on expected outcomes, published 11 June 2021.
- [Rollover Schematron v3.0 20210607](#), updated to address RTR USM validation issue, published 11 June 2021.
- [Rollovers v3 Implementation and Onboarding Learning and Issues register](#), published 23 June 2021.
- [Rollover v3 Conformance testing guide V3.09](#) published 25 June 2021.

ATO Superannuation services status and maintenance times

ATO Superannuation Dashboard Status

<https://sSES.status.ato.gov.au/>

System maintenance | Australian Taxation Office (ato.gov.au)

<https://www.ato.gov.au/General/Online-services/System-Maintenance/>

Other Updates

- SMSF ESA provider ato.gov.au content has been finalised, currently awaiting publication.
- Production Verification Testing (PVT):
 - A draft PVT arrangement has been developed to provide guidance and support to funds during their Rv3 transition to production. The document is currently undergoing an internal review process.

More information

Any questions, comments and feedback can be sent to SuperStreamStandards@ato.gov.au.