Key messages

Title:	Rollovers v3.0 Implementation Forum (RIF)
Issue date:	21 July 2021
Venue:	WebEx

Event date:	15 July 2021	Start: 2:00pm AEDT	Finish: 2:23pm AEST

Chair:	Belinda Black, Rollover v3 Project Manager Superannuation & Employer Obligations ATO

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Agenda item: 1– Welcome and opening remarks

Belinda Black opened the meeting and welcomed the group.

Agenda item: 2– ATO Update

➤ SMSF Association webinar – the In conversation with the ATO – SMSF SuperStream Rollover webinar recently released by the SMSFA has been picked up by various media outlets generating sub articles. This is helping to get the SuperStream Rollovers v3 messages out to broader industry.

➤ The Register of SMSF message providers web page listing SMSF providers who offer Electronic service addresses (ESAs) for SMSFs has been updated and is now live on ato.gov.au.

➤ Fund Validation Service (FVS):
  - we have had one fund update their USI certification on the FVS with both ROLL3 and GROL3 with an effective date of 22 July 2021.
  - The ATO's USI certification will be updated shortly to align to this fund.
  - We'd like to remind funds that updating the USI certification on the FVS is one of your obligations and needs to be done in preparation for your cutover to production.
  - You are required to update your certifications a minimum of 10 business days prior to commencing Rv3 transactions.

POST MEETING NOTE: The ATO USI has been updated to V3 effective from 22 July 2021.

- Production Verification Testing (PVT):
    - we will commence PVT with the first fund from Thursday 22 July 2021 and provide an update to this group at our next meeting.

POST MEETING NOTE: ATO Superannuation Dashboard is a near real-time indicator of the Superannuation services and will be updated to include the new services, SVS and SMSFmemberTICK once they have been used by a fund.

- Onboarding weekly updates – We have developed a visual to illustrate how Rollover version 3 testing is progressing. This will be included in next week's update and will be updated as required. We will also be removing some redundant reporting.

- Government 2 Business (G2B)
    - We have progressed more participants through G2B testing and continue to refine the process as we are provided feedback or identify areas for improvement.
    - G2B testing progress with funds, administrators, SMSF providers, and digital service providers (DSPs) as at 14 July:
        - Nine fully completed their G2B testing.
        - Eleven are currently undertaking G2B testing, of which three are on hold pending issue resolution.
        - Twenty six tentatively scheduled to commence over the coming weeks.
    - We have had a lot of interest to undertake G2B testing in August, so we are reaching out to confirm funds planned testing dates to ensure we are sufficiently resourced to support Industry through this period.

- Business 2 Business (B2B) - Cohort 2 is in progress, cohort 4 commences next Monday 19 July and cohort 5 will commence 26 July
    - Reminder to the group that attending the pre-cohort meeting that is scheduled for you a week before your commencement date is essential. In these meet and great sessions, we share the testing schedule to plan how each participant will test with each other plus share testing data, testing matrix and the lessons learnt from previous sessions.

- Cohort scheduling
    - The most recent iteration of the cohort schedule was published on 16 July and is currently being published weekly to reflect regular changes being made to their readiness dates by industry.
    - We have been contacting cohort 6 and 7 participants this week to confirm readiness and will start contacting cohort 8 participants next week. Please respond as soon as possible to these requests to confirm readiness if you have yet to do so.
    - If you need to provide an update to any of your readiness dates please provide an update to them all: G2B, P2P B2B, and production cutover dates via the SuperStreamStandards@ato.gov.au mailbox. This ensures that we have up to date information, and it is being accurately recorded and reported.

As we approach the end of the extended transition period for implementation, we would like to advise the group that we can no longer guarantee you will be able to get your preferred dates for testing.

While we will continue to do our best to accommodate any date changes, however with the limited amount of days in a cohort and the requirement for two days to test with the SMSF provider, if you change your dates now we can only place you where time allows which may also then impact your G2B testing.

Issues during testing may also require those involved to move to a later cohort group to avoid delays for other participants.

Agenda item: 3– Industry update and questions

- ➢ Release Authority Statement (RAS) Taxable Components field and mismatch between Message Implementation Guide (MIG) and User Guide
    - Industry raised a potential discrepancy between the MIG and User Guide regarding release authorities and the payment amount values.
    - ATO is currently undertaking an internal review and will contact those impacted to discuss this matter offline once completed.

Agenda item: 4– Issues register

The latest version of the SuperStream Rollover v3 implementation and onboarding learnings and issues register was published to the SuperStream Rollover v3 wrapper page 14 July 2021.

Agenda item: 5– Lessons learned

- ➢ Schematron, MIG and Taxonomy
    - Reminder to the group when building to look at the complete suite of documents, some fields may be identified as nillable in the taxonomy, yet have additional validations outlined in the MIG.
    - For nillable fields also consider how your gateways are applying the schematron or other validations to the data as it moves through the message chain.
    - Consider if you are putting unnecessary data into the IRR or RTR.

- ➢ Pre-cohort handshake / connectivity tests.
    - The ATO recommends handshake tests/smoke tests be conducted with your testing partners prior to the commencement of your Cohort. This is to ensure any connectivity issues are identified and resolved prior to you commencing your testing.

Agenda item: 6 – Other business

- ➢ Know Your Client (KYC) and Fraud
    - As we move into production for SMSF rollovers, we would like to make the group aware that there has been an increase in money finding websites which can lead to fraudulent activity such as identify theft.
    - While the SVS provides some additional rigor, the group is reminded to continue their checks to prevent fraud and in line with your KYC practices.

POST MEETING NOTE:

➢ Rollovers v3 Gateway Certification - The Digital Partnership Office have whitelisted Gateways for Rollover v3 effective from 21 July 2021.

➢ Online services for business (OSB) and funds - We would like to remind the group that the Business Portal will no longer be available from end July however the online SMSF member verification service (MVS) remains available to super funds until COB 24 September 2021 via the Online services for business log in page. To find out more refer to Change to the member verification service on ato.gov.au

Agenda item: 7 – Wrap up and close

Belinda thanked the group for their attendance and participation.

Next meeting: 29 July 2021

Action item summary

Number	Action item	Responsibility
09/2021_01	ATO to investigate RAS Taxable Components field and mismatch between Message Implementation Guide (MIG) and User Guide.	ATO