

Rollovers version 3 onboarding weekly update

The following update is designed to assist industry in their rollovers version 3 onboarding. We expect to deliver this update on a weekly basis while it continues to be useful. We will include:

- 1) EVTE status for SBR services and Rollover message
- 2) Onboarding status updates of entities participating in rollovers version 3
- 3) Guidance to provide further assistance during onboarding

EVTE status for testing Rollovers V3

Active Issues (no change from last update)

#	Issue	Resolution	Resolved on	Status	Rv3 Issues Register #
17	Release Authorities issued without mandatory country code field.	In progress		24/08 Release authorities are being issued without a mandatory field and being rejected by gateways. ATO system fix is being developed as a priority.	37

Closed Issues

#	Issue	Resolution	Resolved on	Rv3 Issues Register #
13	2.06.2021 Fund initiated (Fund to ATO) USM testing	<ul style="list-style-type: none"> EVTE environment updated enable automatic USMOR responses. The system was also updated to include the additional USI and Gateway ESAs provided by Industry. 	09 August 2021	19
16	21.07.2021 RAS preservation amounts and taxable components	<ul style="list-style-type: none"> 28/07 Additional clarification content added to the User guide and published 28/07/2021. 21/07 User guide being updated to clarify the preservation amount and taxable component fields in the RAS need to equal the amount paid to meet MIG validation requirements. 	28 July 2021	32
15	16.07.2021 Release Authority Error Response (RAER) message structure	<ul style="list-style-type: none"> RAER payload build specifications set out in Schedule 6 Data and Payment Standards - Error Code Management must be met - refer section 11.3 Release Authority Error Response. <p>Of note, if curly braces form part of your payload, the corresponding parameter to dynamically fill the curly braces must also be included in your payload - refer to section 3.3 Parameters content model information.</p>	19 July 2021	33
14	03.06.2021 Schematron incorrectly applying USM validation on an RTR message.	<ul style="list-style-type: none"> 11/06 Updated schematron and published on the software developers website. 09/06 Discrepancy identified between the taxonomy 	11 June 2021	20

		<p>in MIG V3 and the latest version of the schematron where validation was incorrectly conducted on the UnclaimedSuperannuationMoney.Date field, as part of an RTR message.</p> <p>The schematron has been updated to align with MIG V3 and address USM validation issues. The updated version has been scheduled for external publication (estimated availability from 11/06).</p>		
12	<p>12.05.2021 G2B test scenario USM 6.6 currently unavailable to test.</p>	<ul style="list-style-type: none"> • 18/05 System fix deployed and confirmed successful. USM6.6 available for testing. • 12/05 USM6.6 – currently under investigation. 	18 May 2021	18
11	<p>04.05.2021 G2B test scenario USM 6.7 currently unavailable to test.</p>	<ul style="list-style-type: none"> • 18/05 System fix deployed and confirmed successful. USM6.7 available for testing. • 11/05 USM6.7 – investigation complete, fix identified. Anticipate test will be available from 18/05. • 04/05 Issues identified with test scenario USM6.7. 	18 May 2021	17
10	<p>25.03.2021 Provision of updated sample payload data</p>	<ul style="list-style-type: none"> • 29/04 –Rollover Sample Message Instances (payload samples) published and available at: https://softwaredevelopers.ato.gov.au/rolloverV3 	29 April 2021	16
9	<p>26.04.2021 RASOR incorrectly setting identifier scheme values for TFN and ID.</p>	<ul style="list-style-type: none"> • 29/04 – Fix confirmed successful. • 28/04 – Fix identified and deployed on 28 April. Reissued test on 28 April. Resolution pending confirmation of successful fix. • 26/04 – EVTE issue identified for investigation. RASOR being rejected at Gateway due to invalid event item scheme parameter value. 	29 April 2021	15
8	<p>01.04.2021 Internal ATO factors affecting the information in our test data for P2P (both B2B and G2B including in EVTE) are currently being considered and are likely to require updates to our test data.</p>	<ul style="list-style-type: none"> • 23/04 EVTE refresh completed and testing recommenced. • 14/04 Updated test data published. 	23 April 2021	13
7	<p>12.02.2021 Recent whitelist configuration and certificate changes introduced connection errors impacting one gateway.</p>	<ul style="list-style-type: none"> • 25/2: Data fix implemented on 23 February. Fix verified with impacted gateway. 	23 February 2021	7
6	<p>10.02.2021 EPF test scenario for SMSF missing the SMSF Alias in the ebMS header properties</p>	<ul style="list-style-type: none"> • Identified through interoperability testing • Root cause: EPF code regressed since the original EVTE deployment. Issue does not impact EPF test scenarios for APRA funds. • Code remediation in progress. • Remediation to restore correct code for EPF test scenarios with SMSF test data • 25/2: Deployed to EVTE on 20 February, fix being verified with impacted Gateway • 2/3: Fix verified. 	20 February 2021	6
5	<p>4.02.2021 RA with SMSF Test scenario was not previously enabled.</p>	<ul style="list-style-type: none"> • RA Test scenarios with SMSF data enabled on 4 February. 	4 February 2021	5

4	EPF Test Scenarios in EVTE updated with SMSF data	<ul style="list-style-type: none"> Additional SMSF data for EPF test scenarios circulated to industry representatives (GOM, ASP and gateways currently testing) for review, with no feedback received by 4 February 2021. EVTE updated to align with EPF SMSF data shared for consultation on 4 February. 	4 February 2021 (hotfix)	4
3	29.01.2021 [Defect] EVTE Test Harness unavailable on 20 Jan 2021 preventing testing of RA	<ul style="list-style-type: none"> Resolved by the end of the day. Caused by internal environment issue impacting outbound messages. Resolved by the end of the day on 29 Jan 2021. 	29 January 2021	3
2	15.01.2021 [Defect] EPF ContextID greater than 40 characters issue	<ul style="list-style-type: none"> EPF ContextID reduced to a value less than 40 characters. Identified through interoperability testing. Scenario has been tested successfully with an EPF for an APRA fund. 	2 February 2021 (hotfix)	2
1	15.12.2021 [Defect] RA and RAS sample payloads contained 8 digit TFNs instead of 9 digit TFNs	<ul style="list-style-type: none"> RA and RAS test scenarios updated with 9- digit TFNs. Identified through early review of RA test files provided. Shared with ATO on 15 Dec 2021 RA and RAS data change release on 4th Jan to EVTE. 	4 January 2021 (hotfix)	1

EVTE status for SVS and SMSFmemberTICK Service *(no change from last update)*

- May 2020 - full suite of SVS and SMSF verification services available in EVTE
- Seven DSPs have been whitelisted for SMSFVRFY.
- Two DSPs have been whitelisted for SMSFMBRVERFY.
- Eight other DSPs are currently testing
- No issues have been identified requiring changes.

B2B Testing

B2B cohort schedule and process overview:

- Funds will receive an email welcoming them to the cohort ten business days prior to the commencement date.
- An initial discussion on how the cohort will conduct their testing and the schedule will be held with funds and their gateways approximately 5 business days prior to the commencement date.
- Stand-ups will be held twice a day for the duration of the cohort. Invites to these will be issued after the initial testing discussion.
- Once testing is completed, funds will receive an email thanking them for their participation and reminding them to return the completed testing matrix and lodge their production readiness checklist (where G2B testing has also been completed).

Cohort	Dates
Cohort 1	15/06/2021 – 02/07/2021
Cohort 2	05/07/2021 – 19/07/2021
Cohort 3	Disbanded
Cohort 4	19/07/2021 – 30/07/2021
Cohort 5	26/07/2021 – 06/08/2021
Cohort 6 / 7	02/08/2021 – 13/08/2021
Cohort 8	09/08/2021 – 20/08/2021
Cohort 9	23/08/2021 – 03/09/2021
Cohort 10	30/08/2021 – 10/09/2021

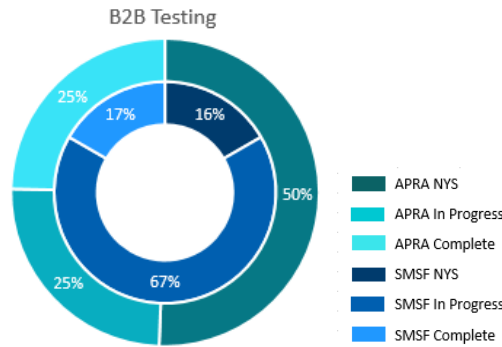
Cohort 11

06/09/2021 – 17/09/2021

Cohort 12

13/09/2021 – 24/09/2021

B2B testing progress with funds, administrators and SMSF providers as at 25 August:

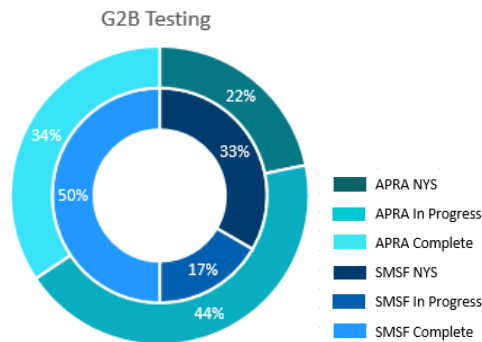


Note:

- 'APRA' incorporates APRA funds and APRA administrators
- 'Complete' denotes readiness testing matrix received by ATO

G2B Testing

G2B testing progress with funds, administrators, SMSF providers, as at 25 August:

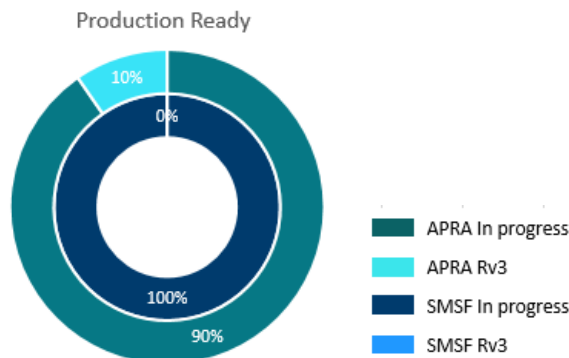


Note:

- 'APRA' incorporates APRA funds and APRA administrators
- 'Complete' denotes readiness testing matrix received by ATO

Production cutover

Industry cutover to production for SuperStream Rollovers version 3 as at 25 August:



Note:

- 'APRA Rv3' and 'SMSF Rv3' are entities live and ready to accept and issue Rv3 messaging, it does not reflect or indicate entities that have updated FVS in preparation to cut over.

Testing support documentation

- USM Reason Code taxonomy change was released on 12 December 2020 as planned (standard monthly cycle)
- [Rollover Sample Message Instances](#) (payload samples) published 29 April 2021
- [MAAS Conformance Suites and payloads](#) published in SBR Sharefile 06 May 2021.
- MAAS EVTE code deployed and DSPs re-whitelisted 10 May 2021.
- [MATS Conformance Suites and payloads](#) published in SBR Sharefile 18 May 2021.
- [SuperStream Rollovers V3 Test Data Scenario V1.1](#) spreadsheet was updated to remove invalid scenarios and provide clarity on expected outcomes, published 11 June 2021.
- [Rollover Schematron v3.0 20210607](#), updated to address RTR USM validation issue, published 11 June 2021.
- Rollovers v3 Implementation and Onboarding Learning and Issues register, published as required (refer to [SuperStream Rollover v3 | Australian Taxation Office \(ato.gov.au\)](#) for latest version).
- [Rollover v3 Conformance testing guide V3.09](#) published 25 June 2021.
- [Register of SMSF messaging providers](#) (ato.gov.au content) published 15 July 2021.
- [Rollover V3 User Guide V4.03](#) published 28 July 2021.
- [SuperStream Rollover v3 production verification testing](#) published 6 August 2021.
- [SMSF Alias LookUp table](#) version 17, updated August 2021.

SMSF Alias Lookup Table

This table is available to verify the details of an SMSF alias and its associated gateway. When SMSF Providers cut over to production for SuperStream Rollovers version 3 (Rv3), the table will be updated as required to reflect the latest information in relation to SMSF Provider readiness to accept Rv3 messaging.

As at 25 August, there is one SMSF Provider currently certified to accept Rv3 messaging (GROL3.0-x, ROLL3.0-x).

The latest updates can be located on the [Validation Services | ATO Software Developers](#) page, refer to SMSF Alias lookup table (currently version 17).

ATO Superannuation services status and maintenance times

ATO Superannuation Dashboard Status

<https://sres.status.ato.gov.au/>

System maintenance | Australian Taxation Office (ato.gov.au)

<https://www.ato.gov.au/General/Online-services/System-Maintenance/>

More information

Any questions, comments and feedback can be sent to SuperStreamStandards@ato.gov.au.