



04 March 2021

Rollovers version 3 onboarding weekly update

The following update is designed to assist industry in their rollovers version 3 onboarding. We expect to deliver this update on a weekly basis while it continues to be useful. We will include:

- 1) EVTE status for SBR services and Rollover message
- 2) Onboarding status updates of entities participating in rollovers version 3
- 3) Guidance to provide further assistance during onboarding

EVTE status for testing Rollovers V3

Active Issues (No active issues)

| Iss # | Issue | Resolution | Resolved on | Status |
|-------|-------------------------|------------|-------------|--------|
| | No active issues | | | |

Closed Issues

| Iss # | Issue | Resolution | Resolved on |
|-------|---|---|--------------------------|
| 7 | 12.02.2021 Recent whitelist configuration and certificate changes introduced connection errors impacting one gateway. | <ul style="list-style-type: none">• 25/2: Data fix implemented on 23 February. Fix verified with impacted gateway. | 23 February 2021 |
| 6 | 10.02.2021 EPF test scenario for SMSF missing the SMSF Alias in the ebMS header properties <ul style="list-style-type: none">• Identified through interoperability testing• Root cause: EPF code regressed since the original EVTE deployment.• Issue does not impact EPF test scenarios for APRA funds. Code remediation in progress. | <ul style="list-style-type: none">• Remediation to restore correct code for EPF test scenarios with SMSF test data• 25/2: Deployed to EVTE on 20 February, fix being verified with impacted Gateway• 2/3: Fix verified. | 20 February 2021 |
| 5 | 4.02.2021 RA with SMSF Test scenario was not previously enabled. <ul style="list-style-type: none">• Test data was enabled 4 February – scenario has been tested successfully. | <ul style="list-style-type: none">• RA Test scenarios with SMSF data enabled on 4 February. | 4 February 2021 |
| 4 | EPF Test Scenarios in EVTE updated with SMSF data <ul style="list-style-type: none">• Additional SMSF data for EPF test scenarios circulated to industry representatives (GOM, ASP and gateways currently testing) for review, with no feedback received by 4 February 2021. | | 4 February 2021 (hotfix) |

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|---|---|---|--------------------------|
| | <ul style="list-style-type: none"> EVTE updated to align with EPF SMSF data shared for consultation on 4 February. | | |
| 3 | 29.01.2021 [Defect] EVTE Test Harness unavailable on 20 Jan 2021 preventing testing of RA <ul style="list-style-type: none"> Caused by internal environment issue impacting outbound messages. Resolved by the end of the day on 29 Jan 2021. | <ul style="list-style-type: none"> Resolved by the end of the day. | 29 January 2021 |
| 2 | 15.01.2021 [Defect] EPF ContextID greater than 40 characters issue <ul style="list-style-type: none"> Identified through interoperability testing. Scenario has been tested successfully with an EPF for an APRA fund. | <ul style="list-style-type: none"> EPF ContextID reduced to a value less than 40 characters. | 2 February 2021 (hotfix) |
| 1 | 15.12.2021 [Defect] RA and RAS sample payloads contained 8 digit TFNs instead of 9 digit TFNs <ul style="list-style-type: none"> Identified through early review of RA test files provided. Shared with ATO on 15 Dec 2021 RA and RAS data change release on 4th Jan to EVTE. | <ul style="list-style-type: none"> RA and RAS test scenarios updated with 9- digit TFNs. | 4 January 2021 (hotfix) |

EVTE status by test scenario grouping

| | Test scenario group | Status |
|----|--|-------------------|
| 1 | ATO initiated USM Rollover Request | Available to test |
| 2 | Gateway respond to Test 1 with USMOR | Available to test |
| 3 | Gateway initiate USM Rollover Request | Available to test |
| 4 | ATO respond to Test 3 with USMOR (automatic) | Available to test |
| 5 | ATO initiate EPF for APRA (no gateway response) | Available to test |
| 6 | ATO initiate EPF for SMSF (no gateway response) | Available to test |
| 7 | ATO initiate S20c Request | Available to test |
| 8 | Gateway respond to Test 6 with S20c Error Response | Available to test |
| 9 | ATO initiate RA Request | Available to test |
| 10 | Gateway respond to Test 8 with RAER | Available to test |
| 11 | Gateway initiate RAS Request | Available to test |
| 12 | ATO respond to Test 10 with RASOR (automatic) | Available to test |
| 13 | ATO initiate RA Request for SMSF | Available to test |

| | | |
|----|--|-------------------|
| 14 | Gateway respond to Test 8 with RAER for SMSF | Available to test |
| 15 | Gateway initiate RAS Request for SMSF | Available to test |
| 16 | ATO respond to Test 10 with RASOR (automatic) for SMSF | Available to test |

EVTE testing progress

(Gateway EVTE testing progress reflects completion of the 16 testing groups above)

- Two gateways have completed testing.
- Gateways yet to commence testing have proposed commencement dates of:
 - Three commencing in March
 - Three commencing in April

Gateway to Gateway interoperability testing (update provided by GNGB)

Gateway to Gateway interoperability testing (also known as B2B) has been completed by two of the eight Gateways for Rollovers v3.

Second cohort commencement meeting was held this week with two new Gateways. Preparation for testing is underway with testing to commence on 8 and 9 March.

A third cohort is scheduled to commence testing preparation on 10 of March. There is one Gateway who is scheduled to commence Gateway to Gateway interoperability testing at the beginning of April.

All Gateways will conduct Gateway to Gateway interoperability testing with one another.

EVTE status for SVS and SMSF verification service *(no change from last update)*

- May 2020 - Full suite of SVS and SMSF verification services available in EVTE
- Four gateways have completed EVTE testing and are ready for production whitelisting.
- Three other DSPs are currently testing
- No issues have been identified requiring changes.

EVTE release history for testing Rollovers V3 *(no change from last update)*

- Rollover v3 progressively released into EVTE through July to October 2020
- Conformance suite scenarios and test data for RA, RAS and EPF (initial version) was released on 12 December 2020 (standard monthly cycle)
- USM Reason Code taxonomy change was released on 12 December 2020 as planned (standard monthly cycle)
- RA & RAS ProductType Code taxonomy change was released in EVTE 16 January 2021 as planned (standard monthly cycle).

More information

Any questions, comments and feedback can be sent to SuperStreamStandards@ato.gov.au.