

Rollovers version 3 onboarding weekly update

The following update is designed to assist industry in their rollovers version 3 onboarding. We expect to deliver this update on a weekly basis while it continues to be useful. We will include:

- 1) EVTE status for SBR services and Rollover message
- 2) Onboarding status updates of entities participating in rollovers version 3
- 3) Guidance to provide further assistance during onboarding

EVTE status for testing Rollovers V3

Active Issues

#	Issue	Resolution	Resolved on	Status	Rv3 Issues Register #
8	Internal ATO factors affecting the information in our test data for P2P (both B2B and G2B including in EVTE) are currently being considered and are likely to require updates to our test data.	In progress		<p>14/04 – ATO test data can be accessed at: SuperStream Rollovers V3 Test Data Scenario</p> <p>DSP and Gateways can also access this from our Online Services for DSPs Knowledge Base, using: https://developer.sbr.gov.au/collaborate/display/DSD/Superannuation+resources</p> <p>EVTE update is on track to recommence testing from 26/04/2021.</p> <p>8/4 - The ATO has completed its review of Rv3 test data with the content now finalised. This will be published on SBR share-file next week. The ATO is also investigating the most appropriate channel to distribute the test data to those who don't have access to SBR share-file and will advise by early week commencing 12/4. The EVTE environment is being updated as a priority with testing expected to recommence on Monday 26/04/2021.</p> <p>1/4 Open - ATO is working on this as a priority and will confirm timeframes for release of test data as soon as possible.</p> <p>Next update by 22 April.</p>	13

Closed Issues

#	Issue	Resolution	Resolved on	Rv3 Issues Register #
7	12.02.2021 Recent whitelist configuration and certificate changes introduced connection errors impacting one gateway.	<ul style="list-style-type: none"> 25/2: Data fix implemented on 23 February. Fix verified with impacted gateway. 	23 February 2021	7
6	10.02.2021 EPF test scenario for SMSF missing the SMSF Alias in the ebMS header properties <ul style="list-style-type: none"> Identified through interoperability testing Root cause: EPF code regressed since the original EVTE deployment. Issue does not impact EPF test scenarios for APRA funds. Code remediation in progress.	<ul style="list-style-type: none"> Remediation to restore correct code for EPF test scenarios with SMSF test data 25/2: Deployed to EVTE on 20 February, fix being verified with impacted Gateway 2/3: Fix verified. 	20 February 2021	6
5	4.02.2021 RA with SMSF Test scenario was not previously enabled. <ul style="list-style-type: none"> Test data was enabled 4 February – scenario has been tested successfully. 	<ul style="list-style-type: none"> RA Test scenarios with SMSF data enabled on 4 February. 	4 February 2021	5
4	EPF Test Scenarios in EVTE updated with SMSF data <ul style="list-style-type: none"> Additional SMSF data for EPF test scenarios circulated to industry representatives (GOM, ASP and gateways currently testing) for review, with no feedback received by 4 February 2021. EVTE updated to align with EPF SMSF data shared for consultation on 4 February. 		4 February 2021 (hotfix)	4
3	29.01.2021 [Defect] EVTE Test Harness unavailable on 20 Jan 2021 preventing testing of RA <ul style="list-style-type: none"> Caused by internal environment issue impacting outbound messages. Resolved by the end of the day on 29 Jan 2021. 	<ul style="list-style-type: none"> Resolved by the end of the day. 	29 January 2021	3
2	15.01.2021 [Defect] EPF ContextID greater than 40 characters issue <ul style="list-style-type: none"> Identified through interoperability testing. Scenario has been tested successfully with an EPF for an APRA fund. 	<ul style="list-style-type: none"> EPF ContextID reduced to a value less than 40 characters. 	2 February 2021 (hotfix)	2

1	15.12.2021 [Defect] RA and RAS sample payloads contained 8 digit TFNs instead of 9 digit TFNs <ul style="list-style-type: none"> Identified through early review of RA test files provided. Shared with ATO on 15 Dec 2021 RA and RAS data change release on 4th Jan to EVTE. 	<ul style="list-style-type: none"> RA and RAS test scenarios updated with 9- digit TFNs. 	4 January 2021 (hotfix)	1
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EVTE status by test scenario grouping

	Test scenario group	Status
1	ATO initiated USM Rollover Request	Not available to test (see active issue 8)
2	Gateway respond to Test 1 with USMOR	Not available to test (see active issue 8)
3	Gateway initiate USM Rollover Request	Not available to test (see active issue 8)
4	ATO respond to Test 3 with USMOR (automatic)	Not available to test (see active issue 8)
5	ATO initiate EPF for APRA (no gateway response)	Not available to test (see active issue 8)
6	ATO initiate EPF for SMSF (no gateway response)	Not available to test (see active issue 8)
7	ATO initiate S20c Request	Not available to test (see active issue 8)
8	Gateway respond to Test 6 with S20c Error Response	Not available to test (see active issue 8)
9	ATO initiate RA Request	Not available to test (see active issue 8)
10	Gateway respond to Test 8 with RAER	Not available to test (see active issue 8)
11	Gateway initiate RAS Request	Not available to test (see active issue 8)
12	ATO respond to Test 10 with RASOR (automatic)	Not available to test (see active issue 8)
13	ATO initiate RA Request for SMSF	Not available to test (see active issue 8)
14	Gateway respond to Test 8 with RAER for SMSF	Not available to test (see active issue 8)
15	Gateway initiate RAS Request for SMSF	Not available to test (see active issue 8)
16	ATO respond to Test 10 with RASOR (automatic) for SMSF	Not available to test (see active issue 8)

EVTE testing progress *(no change from last update)*

(Gateway EVTE testing progress reflects completion of the 16 testing groups above)

- Two gateways have completed testing.
- Three gateways are currently testing
 - One gateway has successfully completed 75% of test scenarios
 - One gateway has successfully completed 63% of test scenarios
 - One gateway has successfully completed 13% of test scenarios
- Gateways yet to commence testing have proposed commencement dates of:
 - Two commencing week of 29 March (not yet started as of Wednesday 31st March)
 - One commencing in April

*This testing has suspended pending resolution of issue 8

Gateway to gateway interoperability testing (update provided by GNGB)

The first two cohorts, consisting of four Gateways, have now completed interoperability testing.

The third cohort consists of seven Gateways with testing commencing on 17 March; 80% of testing has been completed in this cohort.

The fourth and final cohort consisting of eight gateways is ready to commence testing on Thursday 15 April as planned.

All Gateways will conduct gateway to gateway interoperability testing with one another.

EVTE status for SVS and SMSFmemberTICK Service

- May 2020 - Full suite of SVS and SMSF verification services available in EVTE
- Six DSPs have completed EVTE testing and are ready for production whitelisting, pending industry readiness.
- Five other DSPs are currently testing
- No issues have been identified requiring changes.

EVTE release history for testing Rollovers V3 (*no change from last update*)

- Rollover v3 progressively released into EVTE through July to October 2020
- Conformance suite scenarios and test data for RA, RAS and EPF (initial version) was released on 12 December 2020 (standard monthly cycle)
- USM Reason Code taxonomy change was released on 12 December 2020 as planned (standard monthly cycle)
- RA & RAS ProductType Code taxonomy change was released in EVTE 16 January 2021 as planned (standard monthly cycle).

ATO Superannuation services status and maintenance times

ATO Superannuation Dashboard Status

<https://sses.status.ato.gov.au/>

System maintenance | Australian Taxation Office (ato.gov.au)

<https://www.ato.gov.au/General/Online-services/System-Maintenance/>

More information

Any questions, comments and feedback can be sent to SuperStreamStandards@ato.gov.au.