

Key messages

[CLASSIFICATION] External

File ref: 08/2021

Title:	Rollovers v3.0 Implementation Forum (RIF)		
Issue date:	6 July 2021		
Venue:	WebEx		
Event date:	1 July 2021	Start: 2:00pm AEDT	Finish: 2:15pm AEST
Chair:	Belinda Black, Rollover v3 Project Manager Superannuation & Employer Obligations ATO		
Contact	Maria Bosnjakovic	Contact email:	Super&EmploymentConsultation@ato.gov.au

Agenda item: 1– Welcome and opening remarks

Belinda Black opened the meeting and welcomed the group.

Reminder to participants that there are a number of publications available on the [SuperStream Rollover v3](#) wrapper page that may provide assistance and guidance as you move into and through testing phases.

We recommend you review the information available to you before commencing your cohort testing. In particular:

- SuperStream Rollovers v3 implementation and onboarding learnings and issues register – captures learnings and issues identified through various testing phases.
- SuperStream Rollover v3 implementation and onboarding information – provides guidance, in a question and answer format, to assist in understanding Rollover v3

Please note, these publications are updated on a regular basis.

Agenda item: 2– ATO Update

➤ Government 2 Business (G2B)

- Three funds/administrators/digital service providers (DSPs) have fully completed their G2B testing
- Nine funds/administrators/DSPs are currently undertaking G2B testing, one of which is only awaiting completion of the G2B test matrix in order to exit the G2B test phase.
- ATO acknowledge that you may be putting your resource into Business 2 Business testing and understand that G2B testing is also critical and were here to support you in G2B testing also.

➤ Business 2 Business (B2B)

- Cohort 1 will finish up tomorrow and we expect most if not all participants to have completed all test scenarios at that time. The contingency for any fund unable to complete testing within this or any cohort is that we will work directly with them to ensure they are able to complete all required tests. This could include scheduling them into a later cohort.
- After the pre-cohort meeting on Monday 28 June, cohort 2 is on track and will commence on 5 July
- Cohort 4 – Participants will get welcome email on Monday (5th July)
- During our pre-cohort meetings we set up a testing schedule that participants follow to complete the required testing. It is critical that all participants attend the pre-cohort meeting to ensure this schedule aligns to your requirements.

➤ Cohort scheduling

- The most recent iteration of the cohort schedule was published on Friday 25th June. It is currently being published weekly to reflect regular changes being made by industry with their readiness dates.
- Currently no funds are listed within Cohort 3 on the schedule as industry movement with readiness for B2B has meant that there are no participants during this period. We have left this cohort on the schedule as everyone is already aware of their cohort group number.
- We are currently in the process of confirming participation in Cohort 4 which is due to commence on the 19th July.
- Confirmation of participation in Cohort 5 which starts on the 25 July will shortly commence.
- Please update all of your readiness dates, including G2B, B2B and production cutover dates. This ensures that we have up to date information, and it is being accurately recorded. If you can please continue to do so via the SuperStreamStandards@ato.gov.au.

➤ Systems Update

- We have previously advised of the issue around us sending an outbound release authority (RA) with no member account identifier. We have worked with industry, undertaking further analysis and have established that the impact is widespread and causes downstream issues/impacts for funds. We have therefore identified a manual process to stop RAs issuing without this mandatory information. We are still working through this process and will update the group as further information is available.

Agenda item: 3– Industry update and questions

If the SMSF digital software providers onboard to SuperStream rollovers v3 during the transition window and before 1 October, do their clients have to use SuperStream rollovers v3?

ATO response:

Members may continue to choose to initiate a rollover through existing paper channels up until 1 October 2021.

For RAs, once your software is updated to SuperStream Rollovers v3, your clients will receive electronic RAs and must reply via SuperStream.

Agenda item: 4– Issues register

See the latest version of [SuperStream Rollover v3 implementation and onboarding learnings and issues register](#) for updates

Agenda item: 5– Lessons learned

The ATO has now included an ESA case sensitivity scenario within G2B testing.

- In the updated conformance testing guide, we have included testing alternate ESA/Alias case in the following EPF test scenarios where the receiving fund is an SMSF:
 - EPF 8.6 (APRA to SMSF test variation) and
 - EPF 8.7 (SMSF to SMSF test variation).

Agenda item: 6 – Wrap up and close

Belinda thanked the group for their attendance and participation.

Next meeting: 15 July 2021

Action item summary

No action items outstanding