



Key messages

[CLASSIFICATION] External

File ref: 04/2021

Title:	Rollovers v3.0 Implementation Forum (RIF)	
Issue date:	14 May 2021	
Venue:	WebEx	
Event date:	6 May 2021	Start: 2:00pm AEDT Finish: 2:23pm AEST
Chair:	Ian Morgan, Rollover v3 Project Manager Superannuation & Employer Obligations ATO	
Contact	Maria Bosnjakovic	Contact email: Super&EmploymentConsultation@ato.gov.au

Agenda item: 1– Welcome and opening remarks

Ian Morgan welcomed attendees to the meeting advising that he is stepping in for Belinda Black while she is on leave.

Agenda item: 2– ATO Update

- Ian advised the group:
 - EVTE Gateway testing resumed on 23 April 2021
 - G2B testing has commenced with our first fund
 - Onboarding process for B2B - Emails have issued to cohort 1.
 - As a result we have received advice from the SMSF provider in that group will have to push back their dates.
 - We are in the process of evaluation the situation to identify what options are available to us, including contacting the remaining SMSF providers to see if they have any scope to bring forward their readiness.
 - We will issue emails to the participants of cohort 1 to initiate conversations and explore options.

POST MEETING UPDATE

- a. We have met with all the funds scheduled for cohort 1 to discuss preferences for delaying their peer to peer (P2P) testing (until an SMSF provider is ready) or completing APRA-APRA P2P testing first, then completing tests with an SMSF peer at a later stage.
 - b. We are working directly with these funds as to how we can best accommodate their preferences.
- Ian confirmed there is an additional SMSF provider who has confirmed they are building and have advised they anticipate being ready to participate in B2B testing in July.
 - The following documents have been recently updated to the [SuperStream Rollover v3](#) wrapper page:
 - Cohort schedule for P2P testing (living document, to be updated as required).
 - The weekly Issue Register update went live on 3 May 2021.
 - [SuperStream Rollover v3 implementation and onboarding information](#) – the draft updates shared with industry on the co-design meeting on 23 April 2021 have now been published.
 - The following documents have recently been updated on the [Rollover standard v3](#) page on our software developers website:
 - Updated version of the [Rollover v3 Conformance Testing guide](#)
 - Payload sample Data [Rollover Sample Message Instances \(ZIP, 106KB\)](#)
 - SMSF alias look up tables and ato.gov.au content is under development and we are aiming for this information to be available next week. This will initially list the providers as being contributions certified and will be updated to include Rollovers v3 certifications as they come onboard.

POST MEETING UPDATE

[SMSF Alias lookup](#) table is now available. SMSF ESA provider content updates on ato.gov.au have been endorsed and we are currently confirming the currency of the SMSF provider details listed.

- MAAS/MATS: MAAS EVTE testing data within the conformation suite has been updated and will be published 7 May 2021. All DSPs will be re-whitelisted Monday 10 May 2021. MATS conformation suite update will be completed in 2 weeks.
- Interoperability testing is underway. Four gateways have completed their testing, three are in progress and only one yet to commence. Please refer to the weekly update for more information.
- A regular dashboard, similar to what was shared during MAAS/MATS onboarding is under development for Rollovers v3 and is looking to display the number of funds that have gone through testing/onboarding stages such as P2P stage.

Agenda item: 3– Industry update and questions

Can the ATO confirm if there will be an SMSF provider in cohort 2, or will that be impacted by the SMSF provider slippage in cohort 1?

Where no SMSF provider is available for cohort 1 or 2, what is the ATO proposing?

- The one SMSF provider was scheduled to participate in both the 17 May and 31 May cohorts. This provider will now not be ready to test until mid-June, and none of the other SMSF providers are in a position to be ready for these first two cohorts.
- As noted above, we are working directly with the impacted funds on finding satisfactory adjustments to the schedule.

Can you provide an update on the manual verification service (MVS)?

- We are still waiting for the OSB area to confirm and we are strongly advocating this current process to remain in place throughout our transition window.

Is the ATO planning on developing something for industry to assist them in training their staff?

- We've had a transition handbook in the past (for MAAS and MATS onboarding, we'll look into this but at this stage nothing is planned.

Can the ATO provide some clarification around when funds are expected to use the SVS, do we need to call the SVS when we have received an IRR?

- There is no obligation for a receiving fund to call the SVS prior to issuing an IRR (and generally you would be unable to do so as the SVS requires the SMSF's bank details).

POST MEETING NOTE:

For further information about your obligations including when to call the SVS, you can refer to the SuperStream Implementation and Onboarding Information available on our [SuperStream Rollover v3](#) wrapper page.

Agenda item: 4– Issues register

No updates

Agenda item: 5– Lessons learned

No updates

Agenda item: 6 – Wrap up and close

- Ian Morgan thanked everyone for their attendance
- Next meeting: May 20, 2021 2pm AEST

Action item summary

Number	Action item	Responsibility	Due date
02/2021_02	ATO to update the SuperStream Rollover v3 Implementation and onboarding information document for guidance around weekend deployment	ATO	completed