



ATO arrangements for rollovers version 3 Government to Business peer to peer testing

There are three phases of testing that will be conducted prior to the production cutover to rollovers version 3 by 30 September 2021.

- **Integration testing** between APRA funds and their solution provider; SMSF/ESA provider and their solution provider.
- **Interoperability testing** between gateway operators including ATO.
- **Peer to Peer testing:**
 - for Business to Business testing (B2B) – between APRA funds and SMSF service providers.
 - for Government to Business (G2B) – between APRA funds or SMSF service providers and the ATO.

In scope

This document provides guidance for the super industry on the G2B peer to peer testing process between the ATO, APRA funds and SMSF service providers. G2B testing will confirm each participant's ability to send and receive messages between the ATO in version 3 of the Standard.

Out of scope

B2B peer to peer testing is not in scope for this document.

Separate guidance and documentation will be provided for this.

Purpose

Based on previous onboarding experience, the ATO will orchestrate funds and SMSF service providers G2B peer to peer testing.

For the remainder of this document, the term 'fund', refers to either an APRA fund or an SMSF service provider (unless otherwise stated).

Approach

To facilitate an orderly process during the transition to production cutover, the ATO will schedule funds for G2B peer to peer testing.

- A schedule will be in effect from the end of March 2021 through to 30 September 2021
- Funds will need to give at least ten business days' notice that they are ready to commence G2B peer to peer testing to the ATO via SuperStreamStandards@ato.gov.au
- We cannot guarantee that we can accommodate the fund's preferred testing time frame but we will endeavour to meet their request
- A fund will complete a series of testing scenarios which are outlined in the [Rollover V3 Conformance Testing Guide](#). The guide outlines the steps involved in each of the peer to peer test sequences listed for unclaimed superannuation money (USM – APRA funds only), electronic portability forms (EPFs) Section 20Cs (APRA funds only) and release authorities (RAs).
 - Each test scenario should be performed by the fund
 - Each test requires checking of both sending and receiving solution correctness.
- The G2B peer to peer testing process is anticipated to take 5 business days to complete for each fund, however this is only a guide and may vary by provider/fund, based on testing/implementation complexity and any issues which may arise.
- As with B2B peer to peer testing, funds may have multiple Unique Super Identifiers (USIs) using the same registry system. In this scenario it is not necessary for every USI to complete separate/discrete peer to peer testing. We recommend testing be completed for different registry systems and implementations of those registries. Funds must be satisfied they have successfully performed sufficient testing before updating their certification values.

Process

1. G2B peer to peer testing schedule

- the fund will email SuperStreamStandards@ato.gov.au indicating their preferred testing start date 10 business days prior to that date (please include 'G2B testing' in the subject line)
- the ATO will respond confirming a test start date within two business days
- the ATO will issue a reminder two business days prior to the start date

2. Entry criteria

- Fund participants **must** have completed integration testing
- Gateways **must** have also completed interoperability testing with the ATO.

3. Testing

- Tests are to be completed as per the test guide
- Funds must complete ALL relevant test cases
- we anticipate G2B testing to take up to 5 business days however in some circumstances more days may be required

4. Issues and troubleshooting

- Funds will be responsible for problem diagnosis, remediation and re-testing between their solution providers and or gateways with support from the ATO as required

5. Test results and scoring

- Results are self-assessed and rated by the receiving entity, as follows:
 - **PASS** – recipient advised test scenario passed
 - **FAIL** – recipient advised test scenario not passed
 - **INCOMPLETE** – fund elects not to complete test
- Overall scoring for a fund will be reflected in the 'testing matrix' (refer Appendix A) summarising each test scenario results for the fund.

6. Exit criteria and production readiness

- A fund will meet exit criteria when it has successfully completed each relevant test in their 'testing matrix'.
- Once exit criteria have been met, participants can proceed with other production readiness tasks such as B2B peer to peer testing

Where to go for assistance on this process

Queries about scheduling or test processes should be directed to SuperStreamStandards@ato.gov.au mailbox.

Further documentation

Rollover User Guide:

https://softwaredevelopers.ato.gov.au/sites/default/files/2020-11/Rollover_v3_User_Guide-V3.02.pdf

Data and Payment Standard V3.0 pages on the www.softwaredevelopers.gov.au website:

<https://softwaredevelopers.ato.gov.au/rolloverV3>

Rollover Message Implementation Guide (MIG):

https://softwaredevelopers.ato.gov.au/sites/default/files/2020-11/Schedule_4b_Rollover_MIG_v3.0_v0.85.pdf

Appendix A testing matrix G2B

Note : The attached testing matrix is an indicative representation of the final test requirements. They will be finalised post industry feedback and consultation of the testing process.



G2B testing
matrix.xlsx