



Key Messages

[CLASSIFICATION] External
File ref: 12/2021

Title:	Rollovers v3.0 Implementation Forum (RIF)		
Issue date:	14 September 2021		
Venue:	WebEx		
Event date:	9 September 2021	Start: 2pm	Finish: 2.21pm
Chair:	Belinda Black, Rollover v3 Project Manager Superannuation & Employer Obligations ATO		
Contact	Maria Bosnjakovic	Contact email:	Super&EmploymentConsultation@ato.gov.au

Agenda item: 1 Welcome and opening remarks

Belinda Black opened the meeting and welcomed the group.

The SMSF member verification system (MVS) will no longer be available from 11.30pm 24th September 2021 with all links and access removed. From this date the SMSF Verification Service (SVS) is the only available service for this verification purpose.

The weekly report has been modified and will be distributed more regularly so industry are provided with up to date information concerning testing and onboarding issues.

Agenda item: 2 Government to Business (G2B) Update

The ATO Provided a progress update for G2B testing, as at the 9th September:

- For APRA funds
 - 8% yet to start
 - 13% In Progress
 - 79% Completed
- For SMSF providers
 - 1 yet to start
 - 2 in progress
 - 3 completed
- At the completion of G2B testing a G2B test matrix will be provided for completion. Funds will continue to be reported and tracked as 'in progress' until they have completed and returned the test matrix and formally exited the G2B testing phase.



Agenda item: 3 Business to Business (B2B) Update

The ATO is continuing to engage with participants from earlier cohorts to monitor and assist as required.

- Cohorts 1 to 9 have finished with only two funds left to finalise their testing.
- Cohort 10 commenced Monday 30th August and progressing well.
- Cohort 11 started Monday 6th September and is the largest Cohort involving 10 APRA funds and all SMSF Providers. Testing is progressing well.
- Cohort 12 commences on the Monday 13th September, involving 7 APRA funds and all SMSF Providers.
- Like G2B testing, the B2B testing matrix must be completed and returned to formally close testing.

Agenda item: 4 Production Update

Currently there is 16% of APRA funds live in production, with the number increasing daily.

There is one SMSF Provider as at 9th September transacting in SuperStream rollovers version 3. Two further SMSF Providers are scheduled to commence on 27th September therefore a new SMSF Readiness Table will be published shortly.

ATO is requesting notification of any changes to Production Readiness dates as soon as possible.

Updates to the Fund Validation Service require a 10-business day notification period.

It is a requirement for the Production Readiness Checklists to be returned prior to your planned cutover date.

Agenda item: 5 Production Verification Testing (PVT) Update

The first funds who have onboarded to Rollovers version 3 are progressing through PVT with more expected over the coming days.

Through PVT we have identified and mitigated a number of system constraints:

- Release Authorities were not including a country code which is a mandatory field. This has been resolved.
- Funds with Primary and Secondary gateways were having issues with receiving Release Authorities. This has been resolved and messages have been reissued.
- Electronic Portability Forms were failing schema validation. This has been resolved.
- Release Authority Statement Outcome Responses (RASOR) failing to issue. The fix has been deployed and being tested.
- Overseas residential address in Electronic Portability Forms contain an Australian country code therefore being rejected by industry. The ATO is implementing a 3-step solution to resolve this issue.



Agenda item: 6 – Other business

The ATO asked industry if the ATO receives a Release Authority Statement (RAS) and returns an unsuccessful RASOR to the fund, will the fund be able to send a corrected RAS back once the error has been rectified?

Some participants advised they'll take the question on notice and review their system capabilities whereas others confirmed they can return the message but cannot use the same PRN. Another fund noted there are constraints within their Gateway so will consult with them.

Agenda item: 7 – Wrap up and close

Belinda thanked the group for their attendance and participation.

Next meeting: 23 September 2021.

Action item summary

No updates