



Key Messages

[CLASSIFICATION] External
File ref: 13/2021

Title:	Rollovers v3.0 Implementation Forum (RIF)		
Issue date:	30 September 2021		
Venue:	WebEx		
Event date:	23 September 2021	Start: 2:00pm	Finish: 2:30pm
Chair:	Belinda Black, Rollover v3 Project Manager Superannuation & Employer Obligations ATO		
Contact	Maria Bosnjakovic	Contact email:	Super&EmploymentConsultation@ato.gov.au

Agenda item: 1– Welcome and opening remarks

Belinda Black opened the meeting and thanked industry for their commitment to making the 30 September deadline.

- SMSF lookup table has been updated to version 20:
 - The table was updated on 21 September and is available on our [software developer's website](#).
 - It is likely that two more updates will occur to accommodate changes and onboarding for the remaining two SMSF providers.
 - We are working internally on an automatic alert for this information however will continue to update industry via these forums.
- We are now in an increasingly busy period for Production Verification Testing as more funds onboard.
- The online Member Verification Service function will remain available to superfunds until 24 September.
- The Rollovers version 3 (Rv3) Project Team will be here to support industry post 30 September.

Agenda item: 2– ATO Update

- Government 2 Business (G2B) testing
 - For APRA funds 91% are complete, 7% in progress and 2% not yet started.
 - For SMSF providers 4 are fully completed, 1 is in progress and 1 has not yet started.
 - We do not formally exit funds from G2B testing until the G2B testing matrix is received. This is provided to you by your G2B co-ordinator.
- Business 2 Business (B2B) testing



- Final cohort 12 is progressing well, now in their last week, with a number of parties already finished.
 - Cohort 11 finished on Friday 17 September and the majority of testing has been completed.
 - Cohorts 1-10 have all finalised their B2B testing.
 - We are waiting on a small number of funds to provide the testing matrix. This is required before we exit funds from the B2B process.
- Production Verification Testing (PVT)
- We are currently progressing many funds through Wave 2 of PVT. All funds in this wave will be onboarding before 30 September. Funds can expect their 'Welcome Email' shortly (if not already received).
 - Funds onboarding after 30 September will be placed in Wave 3 and will receive a separate email.
 - The ATO are working through identified issues in PVT and providing updates to all Rollover Implementation Forum registered attendees.
 - Since the last meeting, the only issue that was raised and subsequently resolved related to a 32-character limit for the SMSF bank account name in the SVS. ATO implemented a fix to manage this internally which has resolved the issue.
 - There are currently two active issues:
 1. An internal issue affecting 25 release authority statement outcome responses (RASORs) being rejected with an XBRL validation error. We are currently implementing a fix and working directly with impacted funds.
 2. A country code issue impacting some electronic portability forms (EPFs). We are contacting affected clients and advising them to go directly to the transferring fund to initiate the rollover whilst we implement a fix.
- Scheduling and Production
- Funds in the Rv3 production environment are currently at 39%.
 - There will be a significant amount of onboarding activity over the upcoming week as most funds have now updated the Fund Validation Service (FVS).
 - There is currently one SMSF provider in Rv3, with the majority onboarding during week of 27 September.
 - The [SuperStream: Rollover version 3 and Release Authorities production readiness checklist](#) must be completed and sent through before cutting over to production.
- The following documents have been updated and published on our [SuperStream Rollover v3](#) wrapper page:
- [23 September 2021 weekly onboarding update](#)
 - [SMSF Rollover v3 readiness summary – EVTE](#)
 - [SMSF Rollover v3 readiness summary – production](#)
 - [SuperStream rollover v3 implementation and onboarding learnings and issues register](#)
 - [SuperStream rollover v3 SMSF service provider readiness](#)

Agenda item: 3– Industry Update and Questions

No questions raised.



Agenda item: 4– Issues register

The latest version of the [SuperStream Rollover v3 implementation and onboarding learnings and issues register](#) was published to the [SuperStream Rollover v3 wrapper](#) page on 24 September 2021.

Agenda item: 5– Lessons learned

No updates.

Agenda item: 6 – Other business

- The ATO is looking at tightening the guidance around release authority error responses (RAERs), and release authority statement (RAS) processing.
- ATO needs to be able to differentiate between those RAERs indicating a fund is unable to process a release authority (RA) message, from those where the fund can process the message but is unable to release any money.
 - Existing commentary in point 4 of section 3.5.11 of the [User Guide](#) advises funds to use one of four schedule 6 error codes when they are unable to release any money. This guidance will not change; however we will provide direction for scenarios where the RA message itself is unable to be processed.
- Section 3.5.12 of the [User Guide](#) provides guidance around the ATO’s processing of RAS messages, as well as expectations/requirements for successful/unsuccessful RASORs.
 - Existing wording is potential ambiguous, in particular around use of the ‘member account number’, how these are populated on the RA and consumed from the RAS.
 - We are drafting updated guidance to remove this ambiguity.
- The user guide will be updated to address these above issues, to be supported by new questions in our [SuperStream Rollover v3 implementation and onboarding information](#).
- Updated guidance will be reviewed by the Rv3 external co-design group prior to publishing.

Agenda item: 7 – Wrap up and close

Belinda Black thanked the group for their attendance and participation.

Next meeting: 7 October 2021

Action item summary

No updates