

Key messages

[CLASSIFICATION] External

File ref: 11/2021

Title:	Rollovers v3.0 Implementation Forum (RIF)		
Issue date:	31 August 2021		
Venue:	WebEx		
Event date:	26 August 2021	Start: 2:00pm AEDT	Finish: 2:15pm AEST
Chair:	Belinda Black, Rollover v3 Project Manager Superannuation & Employer Obligations ATO		
Contact	Maria Bosnjakovic	Contact email: Super&EmploymentConsultation@ato.gov.au	

Agenda item: 1– Welcome and opening remarks

Belinda Black opened the meeting and welcomed the group.

Agenda item: 2– ATO Update

- SMSF lookup table
 - This was updated on 19 August and is available on our [software developer's website](#)
 - This will be continually updated in coming weeks as more SMSF providers come into production
 - We are working internally on an automatic alert for this information however will continue to update industry via these forums
- Government 2 Business (G2B)
 - For APRA funds 36% are complete, 43% in progress and 21% not yet started
 - For SMSF providers 50% are complete, 17% in progress and 33% not yet started
 - We cannot formally exit funds from G2B testing until the G2B Testing Matrix is received. This is provided from your G2B co-ordinator.
- Business 2 Business (B2B)
 - Cohort 9 commenced 23 August, cohort 10 commencing Monday 30 August.
 - Cohort 1 is 100% complete, other cohorts (2-8) are largely complete with minimal testing outstanding for a small number of testing partnerships.
 - ATO is continuing to engage with providers from earlier cohorts to monitor and assist as required.
 - All SMSF providers (bar one) have completed their required B2B testing however majority are yet to submit their Appendix B Testing Matrix B2B.
 - Like G2B testing, the B2B matrix is required to formally close testing.

- We're working with participants of the final two cohorts (11 and 12) to prioritise the completion of testing to enable all participants to be production ready prior to the compliance date. SMSF providers are also supporting this by making themselves available to support the impacted APRA funds.
- Production Verification Testing (PVT)
 - Electronic Portability form (EPF), unclaimed super money (USM inbound and outbound), the associated USM outcome response, and the new trustee voluntary payment code have been tested.
 - We have also successfully tested the SMSF Verification Service (SVS) usage. Industry are reminded that upon calling the SVS an email and/or text message alert will issue to your member. These alerts are being sent to help safeguard your members' retirement savings and reduce the risk of fraud or misconduct. They advise the member that if they did not request a rollover to be made to an SMSF, or want more information, to contact their existing super fund/s as a matter of priority. More information can be found at [Alerts for changes made to SMSF information | Australian Taxation Office \(ato.gov.au\)](#).
 - During testing the Release Authority (RA) outbound message, it was identified that the country code wasn't included. As this is a mandatory field those RAs were stopped at the (receiving) gateway. We have deployed a fix and the impacted RAs have issued.
- Scheduling and Production
 - 10% of funds / providers are live in production and the first SMSF provider is going live next week.
 - The [SuperStream: Rollover version 3 and Release Authorities production readiness checklist](#) must be completed and sent through before cutting over to production
 - Funds are reminded that they are obligated to make any changes to the FVS at least 10 business days prior to the effective date. To meet the compliance date of 30 September the FVS changes must be actioned by 16 September.
- We have recently updated a number of existing web pages in line with Rollovers version 3 changes – including [QC32600 Final taxonomy files](#). We would like to clarify that there have been no updates to the Taxonomy files themselves, the page was updated to improve readability and MIG document versioning only.
- Access manager
 - Machine credentials – by default will pick up all permissions in access manager visible or not
 - If you want to view your permissions via access manager make sure you are logged in as an authorised administrator
 - Please reach out to SuperStreamStandards@ato.gov.au if you are having difficulty with setting up your access manager permissions.

- The following documents have been updated and published on our [SuperStream Rollover v3](#) wrapper page :
- [26 August 2021 weekly onboarding update](#)
 - [SMSF Rollover v3 readiness summary – EVTE](#)
 - [SMSF Rollover v3 readiness summary – production](#)
 - [SuperStream rollover v3 cohort schedule](#)
 - [SuperStream rollover v3 implementation and onboarding learnings and issues register](#)
 - [SuperStream rollover v3 SMSF service provider readiness](#)

Agenda item: 3– Industry update and questions

- Is the country code issue only affecting RAs or is it EPFs as well?
ATO Response: The Country code impacts RAs only.

Agenda item: 4– Issues register

The latest version of the [SuperStream Rollover v3 implementation and onboarding learnings and issues register](#) was published to the [SuperStream Rollover v3 wrapper](#) page 13 August 2021.

Agenda item: 5– Lessons learned

No updates

Agenda item: 6 – Other business

No other business raised

Agenda item: 7 – Wrap up and close

Belinda thanked the group for their attendance and participation.

Next meeting: 9 September 2021

Action item summary

No updates