



Australian Government
Australian Taxation Office

SuperStream

MIG v3

Onboarding and Implementation Approach

This document outlines the process for Super entities implementing MIG v3, which include the requirements of testing and production cutover.

Changes to this pack include:

1. Industry feedback, update hyperlinks for publication, hyperlinks to Access Manager and RAM support/videos - 28.10.2020
2. Update hyperlinks, timeline, important dates - 1.12.2020

SMSF Rollover MIG v3 and Release Authorities

Change the current SuperStream legislation to:

- remove the exclusion of Self Managed Super Fund (SMSF) rollovers from the SuperStream data standards; and
- mandate the use of an ATO electronic service (SMSF verification service) by funds when processing an SMSF rollover request.

The project will make the process of rolling over superannuation monies between APRA funds and SMSFs consistent with the electronic processes that currently apply to rollovers of superannuation monies between APRA funds.

The change will require super funds (both APRA Funds and SMSFs) to electronically initiate and process SMSF rollover transactions and requests between each other. This will mean that both APRA Funds and SMSFs can send and receive SMSF rollover information and payment using the SuperStream Framework.

The project will further include electronic release authorities (RAs) for the products First Home Super Saver Scheme (FHSSS) and Contribution Caps (**CCAPS incorporating Div293**). FHSSS and CCAPS have their own systems and processes to determine the super fund an RA is to be issued to; however, existing **ATO electronic** forms for the FHSSS and CCAPS RA and release authority statement (RAS) must be modified to incorporate the elements for electronic RAs.

Nb: These services will be referred to as “MIG v3”

Who is required to transact electronically?

Superannuation entities that must comply include:

- super fund trustees and their administrators
- SMSFs

The MIG v3 Rollover and RA services must be used by super entities where they relate to an individuals' :

- Rollover transactions, including Death Benefit
- An RA for FHSSS
- An RA for CCAPS (**incorporating Div293**)
- Associated RASs.

Details regarding MIG v3 Rollover and RA services that super entities must comply with can be found in the Business Implementation Guides on the [SBR website](#).

SMSF ROLLOVER (MIG3) and RELEASE AUTHORITIES

Testing and On-Boarding timeline

ID	ATO Tasks	Start	Finish	Duration	2020												2021												
					Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep					
1	SMSF Rollover and Release Authorities	3/02/2020	1/10/2021	87w	[Red bar spanning all months from Feb 2020 to Sep 2021]																								
2	Prep and publish test cases (co-design)	26/03/2020	13/11/2020	33.4w	[Blue bar from Mar 2020 to Nov 2020]																								
3	Request readiness timeframes from entities	31/08/2020	2/10/2020	5w	[Blue bar from Sep 2020 to Oct 2020]																								
4	Manage testing and on-boarding schedule	31/08/2020	2/07/2021	44w	[Blue bar from Sep 2020 to Jul 2021]																								
5	Develop EVTE mocs and testing process	2/10/2020	10/12/2020	10w	[Blue bar from Nov 2020 to Dec 2020]																								
6	Review FVS certifications	30/09/2021	30/09/2021		[Black diamond at Sep 2021]																								
7	Q1 2021 – EVTE establishment	10/12/2020	10/12/2020		[Black diamond at Dec 2020]																								
8	EVTE conformance testing (DSP Whitelisting)	11/12/2020	1/10/2021	42.2w	[Blue bar from Dec 2020 to Sep 2021]																								
9	EVTE implementation testing (entities)	11/12/2020	11/10/2021	43.4w	[Blue bar from Dec 2020 to Oct 2021]																								
10	Turn on solution in Production	16/03/2021	16/03/2021		[Black diamond at Mar 2021]																								
11	On-Boarding	31/08/2020	1/10/2021	57w	[Blue bar from Sep 2020 to Sep 2021]																								
12	Production Verification Testing (PVT)	31/03/2021	11/05/2021	6w	[Blue bar from Apr 2021 to May 2021]																								

Current at 29/11/2020

DEPENDENCIES

Q1 2021

- SuperStream Release Authority capabilities delivered in (Q1 2021) and (Q2 2021)
- Entity provided readiness timeframes – including DSP Whitelisting, test & production dates
- EVTE MOC development
- EVTE Test process and associated tools
- PVT approach and scenarios

Important Dates and Key Deliverables

• Artefacts (Message Structure Tables, Schemas, Validation Rules, Message Repository)	11 September 2020
• Full MIG3 Conformance Suite (including all necessary test data)	1 December 2020
• EVTE Deployment	10 December 2020
• Business Implementation Guide	10 November 2020
• Production Deployment	16 March 2021
• Whitelisting for approved Digital Solution Providers	September 2021
• Business Deployment Verification (Fund onboarding commences)	April 2021
• Industry Compliance Obligation (LI) (includes a three(3) month administrative extension)	30 September 2021

Documents and artefacts can be found at:

<https://softwaredevelopers.ato.gov.au/rolloverV3>

<https://www.sbr.gov.au/digital-service-providers/developer-tools/australian-taxation-office-ato/superannuation-data-and-reporting-standards/superannuation-spr>

Digital Service Providers

Your selected Digital Service Provider (DSP) needs to complete conformance testing prior to being granted permission to interact with the ATO services. The Rollover v2.0 whitelist is separate and distinct to the Rollover v3.0 whitelist (the upgrade and inclusion of RA makes them different services).

- ATO provides a Conformance Suite that must be completed
- Following successful completion of the Conformance Suite, the ATO will whitelist the DSP's product in the ATO Production Environment.

While the DSP undertakes conformance testing, it may be appropriate to commence testing and implementation of the relevant products in your own environment.

Conformance Suite

The **Conformance Suite*** is appropriate for your DSP to confirm their software conforms with basic ATO requirements in the EVTE. It includes:

- a. Test Data (test members)
- b. Credentials (for submitting the request to the test environment)
- c. Specific scenarios requiring execution and proof of completion

EVTE** is a “stubbed” environment – submitting data that does not match the defined scenarios will have undefined responses.



* The Conformance Suite does **not** provide 100% test coverage. It is strongly recommended that you exercise your own test cases prior to switching to Production in order to satisfy yourself that your implementation is successful.

** EVTE does not accommodate load testing; loads greater than 1,000 records may cause the platform to fail.

Only applicable to FVS, SMSFmemberTICK and SVS

Access Permissions

Access is granted to the services with two independent checks:

1. The Software being used must be authorised to access the ATO Service (achieved by the DSP completing the Conformance Suite and being whitelisted)
2. The Service request/submission must be authorised by the Trustee (confirmed through [Access Manager](#))

Existing authentication and other security measures will remain in place; i.e: myGovID and [RAM](#), including the M2M solution.

Access Manager

For entities using FVS, service name permissions for

- [SMSFVRFY](#)
- [SMSFMBRVRFY](#)
- InitiateRolloverRequest
- ReleaseAuthorityStatement, and
- ReleaseAuthorityStatementOutcomeResponse

will need to be selected in Access Manager.

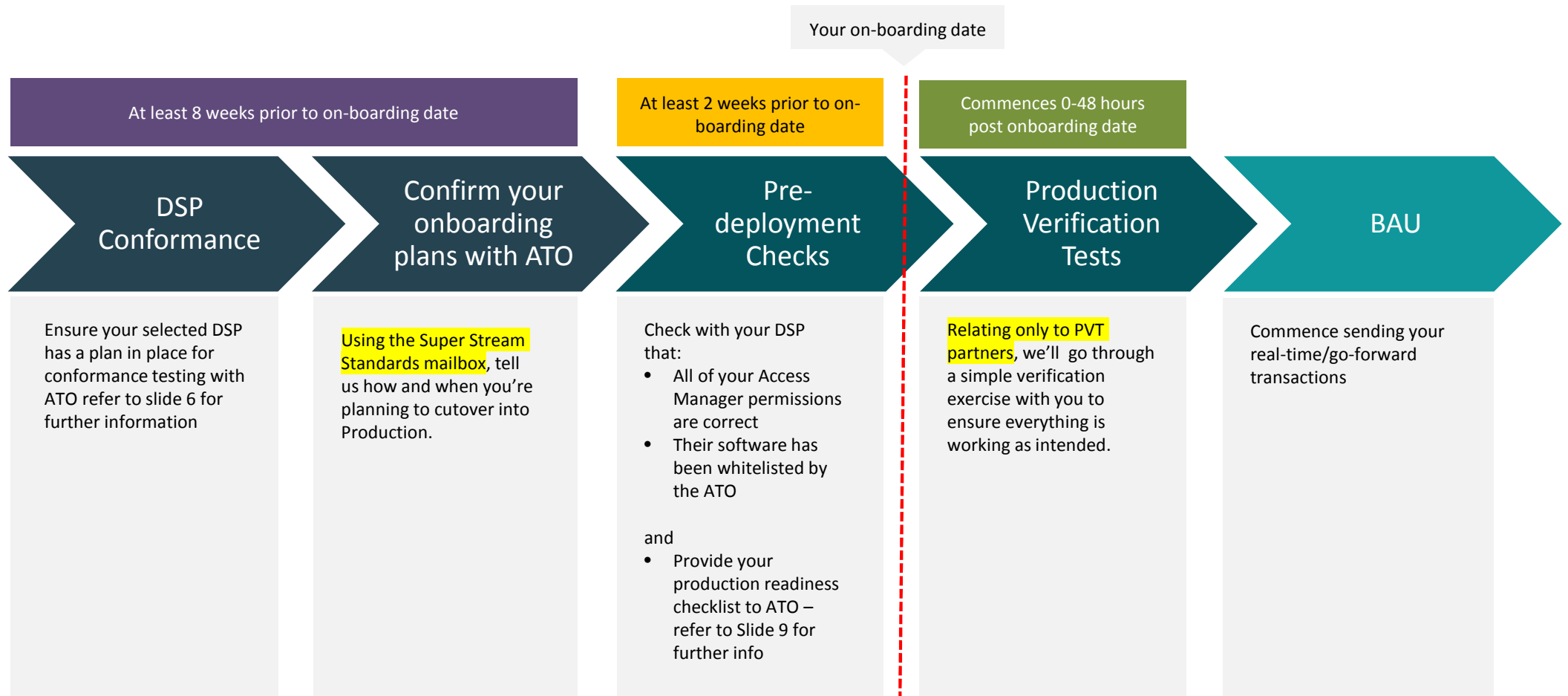
For entities not using the FVS, a permission will be created in ATO systems that enable access.

As with previous SuperStream services, you will need to provide a business appointment to your service provider for this new service via Access Manager. .

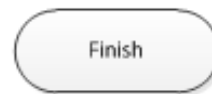
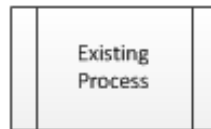
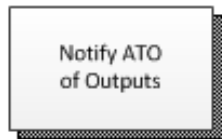
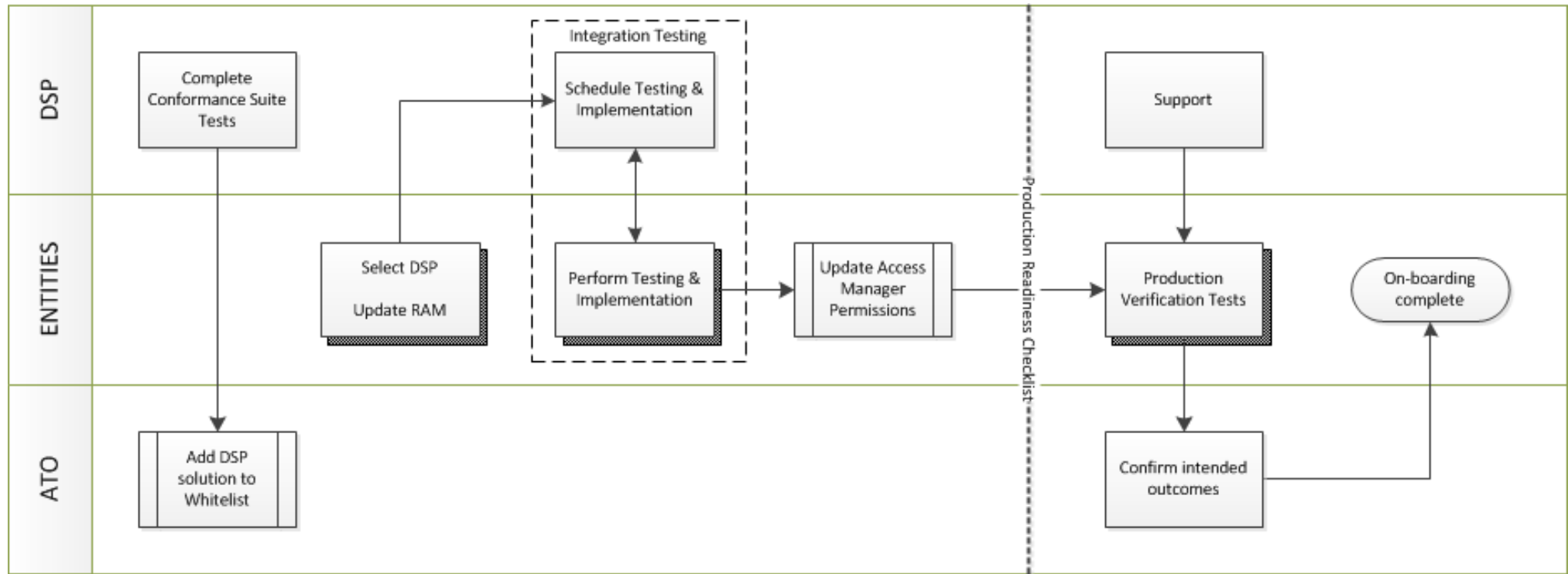
You must confirm with your company myGovID administrator that permissions are correctly set prior to your commencement date.



Implementation Overview



Implementation Overview



Production Readiness Checklist

Prior to confirming your Production Cutover, you will need to submit a Readiness Checklist to us. A template will be available from ato.gov.au/Super/Sup/SuperStream-Rollover-v3/ and will be used to confirm:

1. Your DSP has been whitelisted
2. All DSP / Entity system integration is complete
3. Any data migration and related internal testing you require is complete
4. Your preliminary Production cut-over date
5. You're readiness for PVT, if necessary



Production Verification Tests (PVT)

Immediately following your Production Cutover we may run a quick Production Verification Test with you to confirm your service is working as intended. This simply means we will ask for a single Production transaction to be submitted, which we will use to confirm that the outcome is as intended through the ATO back-office systems.

Following successful PVT, you will be advised to commence business as usual activities.

It is crucial that dummy or test data is not used during PVT or in the Production Environment as outlined in the terms and conditions of each service.

Support

The Project Team are available to answer, or find the right area to answer, your questions during implementation.

Further information including FAQs that can be found at ato.gov.au/Super/Sup/SuperStream-Rollover-v3/ and the MIG v3 Rollover User Guide that can be found at softwaredevelopers.ato.gov.au/rolloverV3

Your chosen DSP will be able to liaise with their assigned ATO DPO Relationship Manager.

If you have any feedback or queries regarding this document you can email SuperStreamStandards@ato.gov.au

