

Implementation Steps

	Task	Timeframe*	Description	Entities
1	Inter-operability testing (B2B and G2B)	10 days	Confirm an entity's systems interact correctly with those of another entity.	Gateways, GNGB, ATO
1a	B2B interoperability testing		Confirm B2B testing between gateways (organised by GNGB).	Gateways, GNGB
1b	G2B interoperability testing in EVTE		Confirm G2B testing with the ATO (organised by the ATO – gateways arrange testing with the Digital Partnership Office (DPO) account manager or through DPO@ato.gov.au).	Gateways, ATO
2	Integration testing	5-15 days	Testing of solutions between supply chain for a: – SMSF/ESA provider and gateway – APRA Fund/administrator and gateway. Ensures a message can transact correctly between a fund (APRA or SMSF) system to their gateway.	SMSF DSPs, Funds, Gateways, DSPs and administrators
2a	External Vendor Testing Environment (EVTE) testing – SBR Services		A testing environment allowing entities to confirm messages are formed correctly (by their DSP software), and generate the expected response.	SMSF DSPs, Funds and administrators (Supported by DSP/Gateway) ATO
2a(1)	– SMSF verification Service (SVS)		Confirm service scenarios (as per the Conformance Suite) with your DSP (DSPs can organise their testing with the ATO via their DPO account manager or through DPO@ato.gov.au).	
2a(2)	– SMSFmemberTICK - SMSF only		ATO support will be available through the project team (contact SuperStreamStandards@ato.gov.au).	
3	G2B P2P testing	5-10 days	G2B P2P testing involves testing between ATO, funds and their intermediaries in the EVTE. It is recognised that some funds may have multiple unique superannuation identifiers (USIs) that use the same registry systems. For G2B testing it is not necessary for every USI to complete testing with the ATO; however, we recommend testing to be completed for each different registry system. Funds must be satisfied they have successfully performed sufficient testing before updating their certification values.	SMSF DSPs, Funds and administrators (Supported by DSP/Gateway) ATO
<<<< Entrance Criteria: Funds must have completed their Inter-operability and Integration testing prior to commencing peer to peer testing. >>>>				
4	B2B P2P testing	10-20 days	Confirming ability to send/receive messages both between funds, and funds/ATO. B2B P2P testing is undertaken between the funds (APRA regulated funds and SMSFs providers). The Conformance Suite provides a list of test scenarios that are recommended to be completed as a minimum for testing. Agreed P2P tests to be completed successfully with your partner(s): – APRA funds with APRA funds – APRA funds with SMSFs/ESA providers – SMSFs/ESA providers with SMSFs/ESA providers The tests to be completed are negotiated between respective testing partners (with consideration given to recommended minimum test in Conformance Suite) ATO will support funds to connect with testing partners where required – contact project team (contact SuperStreamStandards@ato.gov.au). ATO will assist to orchestrate testing between APRA funds and SMSF digital service providers. Further information will be available on our website SuperStream Rollover v3 in March 2021. Where details around peer to peer testing requirements/dates have already been provided, there is no need to provide this detail again (unless there has been a change).	SMSF DSPs, Funds and administrators (Supported by DSP/Gateway) ATO
<<<< Entrance Criteria: Funds have completed their P2P testing before commencing following activities: >>>>				
5	Fund Validation Service (FVS) updates – APRA regulated funds only	1 day	USI updates, through the FVS, must be completed 10 business days prior to effective date. Update will be to ROLL3.0-X/GROL3.0-X on all relevant USIs.	Funds (and DSPs)
6	Access Manager permissions setup – SVS – SMSFmemberTICK - SMSF only	1 day	Any business appointments, that need to be made for the new service, need to be completed for your DSP and/or administration through Access Manager.	Funds, SMSFs
7	Complete internal readiness changes	10 days	Entity is satisfied with their internal readiness, with reference to the SMSF Rollover v3 readiness checklist .	SMSF DSPs, Funds and administrators
8	Complete production readiness notification	1 Day	Submit the completed SMSF Rollover v3 readiness checklist to SuperStreamStandards@ato.gov.au , receive acknowledgement email.	SMSF DSPs, Funds and administrators
<<<< Funds finished testing >>>>				
	ATO production monitoring		The ATO will ensure there is adequate monitoring to confirm that transactions operate as intended in production, as different groups of entities cutover.	ATO

Note: This is a high level overview of the steps required to implement Rollovers v3. Details about the testing and onboarding approach is available in the conformance suite, B2B and G2B orchestration plans.

*Periods are only guides and may vary by provider/fund based on your testing/implementation complexity, and some activities can be completed concurrently (or in a different order).